# On the *t<sup>r</sup>* condition

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Verdier's condition (*w*) (1976) also implies topological equisingularity: If *X* and *Y* are strata,  $Y \subset \overline{X}$ , then (*w*) holds for (*X*, *Y*) at  $y_0 \in Y$  if there exists a C > 0 and a neighborhood of  $y_0$  on which  $d(T_xX, T_yY) \leq Cd(x, y)$ .

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In general,(b) and (w) are independent; for  $\mathbb{C}$  analytic sets, they are equivalent; for real analytic sets, (w) implies (b), but the converse fails (example  $y^4 = t^4x + x^3$  by Brodersen-Trotman).

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Condition (w) is a strengthening of Whitney's condition (*a*):  $d(T_xX, T_yY) \rightarrow 0$  as  $x \rightarrow y$ .

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Condition (a) does not imply topological equisingularity, as we see in the example:

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# Whitney's Example satisfying (a) but not topologically equisingular

$$x^2 + z^2(z - y^2) = 0$$



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Thom formulated condition (t): all transversals to a stratum at point y are transverse to nearby strata in a neighborhood of y.

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#### Theorem Kuo 1978

If (X, Y) is (*a*)-regular at  $y \in Y$  then  $(h^{\infty})$  holds, i.e. the germs at *y* of intersections  $S \cap X$ , where *S* is a  $C^{\infty}$  submfd transverse to *Y* at *y* and dim S + dim Y = dim *M* (*S* is called a *direct transversal*) are homeomorphic.

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(X, Y) is  $(t^r)$ -regular  $(r \ge 1)$  at  $y \in Y$  if every  $C^r$  submfd S transverse to Y at y is transverse to X nearby.



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#### Theorem (Trotman 1976)

If X is semianalytic then  $(t^1)$  is equivalent to (a).



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#### Theorem (Trotman 1985)

 $(t^1)$  is equivalent to  $(h^1)$ .

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#### Example of Koike-Kucharz

 $x^3 - 3xz^5 + yz^6 = 0$ , with *Y* the *y*-axis and X = Z - Y. (*X*, *Y*) is ( $t^2$ ), not ( $t^1$ ). There are two topological types of germs at 0 of intersections  $S \cap X$  where *S* is a  $C^2$  submfd transverse to *Y* at 0. However the number of topological types of such germs for *S* of class  $C^1$  is uncountable.



For subanalytic strata  $(t^r)$  is equivalent to the finiteness of the number of topological types of germs at *y* of  $S \cap X$  for *S* a  $C^r$  transversal to *Y* ( $1 \le r \le \infty$ ).

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The proof uses the "Grassmann blowup": like the regular blowup, but with lines through y replaced with all linear subspaces through y of dimension equal to cod(Y).

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#### Kuo-Trotman 1988, Trotman-Wilson 1999

(X, Y) is  $(t^r)$ -regular at  $0 \in Y$  iff its Grassmann blowup  $(\tilde{X}, \tilde{Y})$  is  $(t^{r-1})$ -regular at every point of  $\tilde{Y}$   $(r \ge 1)$ .

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A definition of  $(t^r)$  is given in TW so that  $(t^0)$  is equivalent to (w). So  $(t^1) \implies (h^1)$  follows from Grassman blowup and then applying the Verdier Isotopy Theorem.

Assume  $\mathbb{R}^m = \mathbb{R}^n \times \mathbb{R}^p$ ,  $Y = 0 \times \mathbb{R}^p$ ,  $X \subset (\mathbb{R}^m - Y)$ ,  $Y \subset \overline{X}$ ,  $X \cup Y$  locally closed. Any direct transversal to Y at 0 is the graph of a function germ  $f : \mathbb{R}^n, 0 \to \mathbb{R}^p$ .

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Such an *f* or its transversal  $\Gamma f$  satisfies  $(t^{r,s})$  for *X* if there does not exist any sequence of 1-jets  $(x_i, y_i, L_i)$  in  $J^1(\mathbb{R}^n, \mathbb{R}^p)$  such that  $y_i = o(||x_i||^r)$  and  $L_i = o(||x_i||^s)$ ,  $p_i = (x_i, f(x_i) + y_i) \in X$ , and  $df(x_i) + L_i$  is non-transverse to  $T_{p_i}X$ . Alternatively this can be stated as *f* satisfying a Łojasiewicz inequality for how close it is from being non-transverse to *X*.

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*X* is said to satisfy  $(t^{r,s})$  if all *f* (or  $\Gamma f$ ) satisfy  $(t^{r,s})$  for *X*, *f* a polynomial map of degree at most [r] = the greatest integer less or equal to *r*. Condition  $(t^r)$  as defined before is equivalent to  $(t^{r,r-1})$ , (w) is equivalent to  $(t^{0,-1})$ , and (a) is equivalent to  $(t^{0,0-})$  (where the minus means replace "little oh" by "big oh" in the definition above). The Koike-Kucharz example satisfies  $(t^r)$  iff r > 1.5.

The *k*-jet *z* of a *C*<sup>*r*</sup> transversal  $\Gamma f$  ( $k \le r$ ) is the set of graphs of functions in  $j^k f$ . Then "*z* satisfies ( $t^r$ )" if all the transversals in *z* are transverse to *X*, equivalently if any representative of *z* satisfies ( $t^{r,r-1}$ ) for *X*.

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In the definition of  $(t^r)$  or  $(t^{r,s})$  the single stratum *X* can be replaced by a whole stratification, or more generally, by any finite or infinite collection  $\{X_a\}$  of submanifolds which partition a locally closed subset *K* of the complement of *Y*.

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Let  $S = \{X_a\}$  and let *z* be a *k*-jet ( $k \le r$ ) of  $C^r$  direct transversals to *Y* at *y*; then *z* is ( $t^r$ ) for *S* if all transversals in *z* are transversal to all the  $X_a$ 's near *y*.

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The *k*-jet *z* is "*S*-sufficient" (resp. "weakly *S*-sufficient") if for all  $S, T \in z$  there is a homeomorphism germ from *S* to *T* (resp.  $S \cap K$  to  $T \cap K$ ) preserving all intersections with all the  $X_a$ .

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# Sufficiency of jets

Let  $f : (\mathbb{R}^n, 0) \to (\mathbb{R}^p, 0)$  be a map-germ. Let  $V(f) = f^{-1}(0)$ .



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# Sufficiency of jets

Let  $f : (\mathbb{R}^n, 0) \to (\mathbb{R}^p, 0)$  be a map-germ. Let  $V(f) = f^{-1}(0)$ .

Say that "*f* and *g* are *V*-equivalent" if there is a homeomorphism-germ *h* from V(f) to V(g).



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Say that "*f* and *g* are *V*-equivalent" if there is a homeomorphism-germ *h* from V(f) to V(g).

They are "*SV*-equivalent" (for strong "*V*-equivalent") if the above *h* is the restriction of a homeomorphism-germ  $h: (\mathbb{R}^n, 0) \to (\mathbb{R}^n, 0)$ .

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They are "*R*-equivalent" (for right-equivalent) if there exists a homeomorphism-germ  $h: (\mathbb{R}^n, 0) \to (\mathbb{R}^n, 0)$  such that  $f = g \circ h$ .

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They are "*R*-equivalent" (for right-equivalent) if there exists a homeomorphism-germ  $h: (\mathbb{R}^n, 0) \to (\mathbb{R}^n, 0)$  such that  $f = g \circ h$ .

An *r*-jet *z* is *V*- (respectively *SV*-, respectively *R*-) sufficient in  $C^s$  if all  $C^s$  representatives are equivalent in the appropriate sense.

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Secondly, there are the results of Kuo-Lu, Brodersen and Koike which relate sufficiency of jets to regularity conditions for certain related stratifications. The most fundamental results are the analytic criteria for sufficiency (e.g. that *r*-jets of functions are *R*-sufficient in  $C^r$  iff  $\|\nabla f\| \ge C \|x\|^{r-1}$  near 0, for some positive constant C), due to Kuiper, Kuo, Lojasiewicz, Bochnak and Kucharz, and Pelczar.

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Finally there are results showing that if sufficiency fails there are in certain cases infinitely many distinct representatives (Bochnak-Kuo, Brodersen and Lefebvre-Pourprix). But an example of Koike-Kucharz, related to the example in Figure 2, showed this is not always the case. Suppose that S is either: (1) (*X*, *Y*), where *X* is  $C^1$ , *Y* is  $C^r$ , dim *X* > dim *Y*, *Y*  $\subset \overline{X}$  and  $X \cup Y$  is locally closed,

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## **Theorem (Trotman-Wilson 1999)**

Suppose that S is either: (1) (X, Y), where X is  $C^1$ , Y is  $C^r$ , dim X > dim Y, Y  $\subset \overline{X}$  and  $X \cup Y$  is locally closed, (2) ( $X = (\mathbb{R}^n - \{0\}) \times \{0\}, Y = \{0\} \times \mathbb{R}^p$ ), or

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## Theorem (Trotman-Wilson 1999)

Suppose that S is either: (1) (X, Y), where X is  $C^1$ , Y is  $C^r$ , dim  $X > \dim Y$ ,  $Y \subset \overline{X}$  and  $X \cup Y$  is locally closed, (2)  $(X = (\mathbb{R}^n - \{0\}) \times \{0\}, Y = \{0\} \times \mathbb{R}^p)$ , or (3)  $(\{X_a = (\mathbb{R}^n - \{0\}) \times \{a\} : a \in \mathbb{R}^p\}, Y = \{0\} \times \mathbb{R}^p)$ .

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*z* is  $(t^r)$  for *S* iff *z* is *S*-sufficient iff *z* is weakly *S*-sufficient (resp. *z* has finitely many *S* equivalence classes).

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Results on sufficiency of the jet of a mapping  $f : \mathbb{R}^n \to \mathbb{R}^p$  follow by applying parts (2) and (3) of this theorem to the graph of f.

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The following theorem is a sample of what can be proved:

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Suppose that P(t) is a family of manifolds of codimension  $k = \dim Y$  and  $P(t) \cap Y = \{z\}$ .

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Suppose that P(t) is a family of manifolds of codimension  $k = \dim Y$  and  $P(t) \cap Y = \{z\}$ .

Suppose that the Milnor number  $\mu(X \cap P(t))$  is independent of t, and that  $\mu_1(X \cap P(t)) = \mu_{k+1}(X)$  (where  $\mu_i(X)$  is the Milnor number of the intersection of X with a generic codimension i plane).

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Then the family  $X \cap P(t)$  is Whitney equisingular.

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# Sun's work on $t^r$ for weighted spaces (1996)

Paunescu proved weighted versions of the *R* and *V* sufficiency results in 1993, 1994.

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Paunescu proved weighted versions of the R and V sufficiency results in 1993, 1994.

Sun proved weighted versions of the  $t^r$  results of TW in 1999, with most of Paunescu's results as Corollaries.

# Valette's work on $t^r$ bi-Lipschitz and differentiable equisingularity(2009)

Valette generalized Trotman-Wilson by defining L(i; j; k) conditions on transversals which relate to Lipschitz and  $C^1$  equisingularity analogous to the way the  $t^r$  conditions relate to (w).

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He shows how certain families of transversals (such as the Grassman blowup) improve the L(i; j; k) so that eventually one can conclude that the family is Lipschitz equisingular.

In the two strata case he gets that family of transversals is  $C^1$  equisingular.

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The  $C^1$  sufficiency results obtained are better than the ones given by Takens (1971), or in Wall's survey (1981), in the sense that the order of determinacy is lower.

In addition, he proves that the number of Lipschitz types of intersection of smooth direct transversals at a given point is finite when the stratification satisfies the Whitney (a) condition.